

**SPOM SET A**

EXAM ASKED QUESTIONS / TOPICS – between 16<sup>th</sup> Jan to 20<sup>th</sup> Jan 2026

1. Gap of first and second meeting of CoC
2. Quorum of CoC meeting
3. SFIO and Inspection Officer
4. NCLT and NCLAT appeal timeline
5. Winding up petition
6. LRS in FCRA
7. Gift of \$1500 to sister - Current account transaction / permission from RBI required
8. Running a hotel and receiving \$35 from foreign customer in cash, can receive or not?
9. Not filed DIR 3 KYC for 2 years, DIN cancelled?
10. Director resignation through email, whether the resignation valid?
11. Form MR 1, MR 2
12. Contribution to electoral trust, advertisement in campaigns for the benefit of a political party, how much can contribute?
13. Remuneration to NED, MD / WTD
14. Insider trading connected persons in SEBI
15. Penalty for insider trading
16. UPSI
17. Insider trading penalties and persons liable
18. Committee of creditors-(66% limit and 33% quoram one)
19. FEMA -\$250000 limit questions and gift from relative
20. NCLAT chapter- time limits for NCLAT/NCLT/ Supreme Court time limits to dispose off matters
21. Unanimous resolution requirement for hiring multiple directors
22. 48 hours time limit in case of authorised representative in IBC

- ① Insider Trading - Penalty Limit Ques.
- ② Export Commission - Limit Ques.
- ③ FEMA Limit Ques.
- ④ FEMA - Resident & Non-Resident Ques.
- ⑤ NCLT & Supreme Court Appeal Limit.
- ⑥ Effective Capital Based Case study not numerical only comparison with limit.
- ⑦ Insider Trading - who is responsible for that type of question.
- ⑧ FCRA - Section 8 Co. Limit question & a founder file a case against Co in the High Court.
- ⑨ Foreign Remittance Limit Based Case Study.
- ⑩ Independent Director applicability Question.
- ⑪ KMP appoint related Question.
- ⑫ Look on IPO due to Insider Trading related Ques.

- ⑬ Minority Interest Limit Based Ques.
- ⑭ Compensation for ~~Removal~~ Removal of MD during fraud investigation.
- ⑮ SEBI Quarterly Compliance related Ques.
- ⑯ Political Party Donation related Ques like Director pass the Donation in AGM or board meeting.

top 500 fund entity to dir

no CG approval form within how many days

Related party in SEBI

Sch-V limits - Political contribution, Advertisement Expense

23 vacancy of bmp with GM

Civil court has power to take cognizance of offence when FC-4 is non-filing & je donation maligt at end purpose made use na thary & je dir are escape liability kann sachse.

MHA justified in rejecting the request on the ground that non-filing of FC-4 was compounded in the FC-2020-21 & current is FC-22-23.

design by email to CFO but not communication of say me effect.

24 Addition dir not filed by C-3 for 2 yrs.

6

7

8

9

10

overseas subsidiary 25% of holding what about TO requirement & Compliance reports

CG can order SFIO & not investigation officer

SFIO is competent authority to arrest the director of unlisted public company with approval of Cr.?

deemed vacated of their office - when they are convicted by special court (Pror & PRI)

Relief can NCLT provided -

- Pan order to regulate Mgt & Affs of company
- X declared DiVidend
- Dissolution of comp
- Transfer ownership to court

Removed of director & 5 years is cooling period if it done by tribunal

11 winding up pentium indivally (s272)

12 Registrar submits views to Tribunal 60 or 30 days

13 Penalty fails to file statement of Affairs

14 winding up order is favours to whom @ contrib. & creditor?

15 RP meeting time limits, Quorum, notice time limit

16 Raise objection by dissenting shareholder

17 CU proposes scheme of arrange XYZ & CU discovered error in valuation report. what circulated to shareholders can CU apply modify in 7 days after discovery

18 Insider trading what SEBI bar, surrender profits, warning or impose penalty?

19 spreading false rumour (compensate investor, ATE criminal charges)

20 XYZ securities failing due diligence (suspend or cancel res -)

21 challenge SEBI order.

NCLT & NCLAT

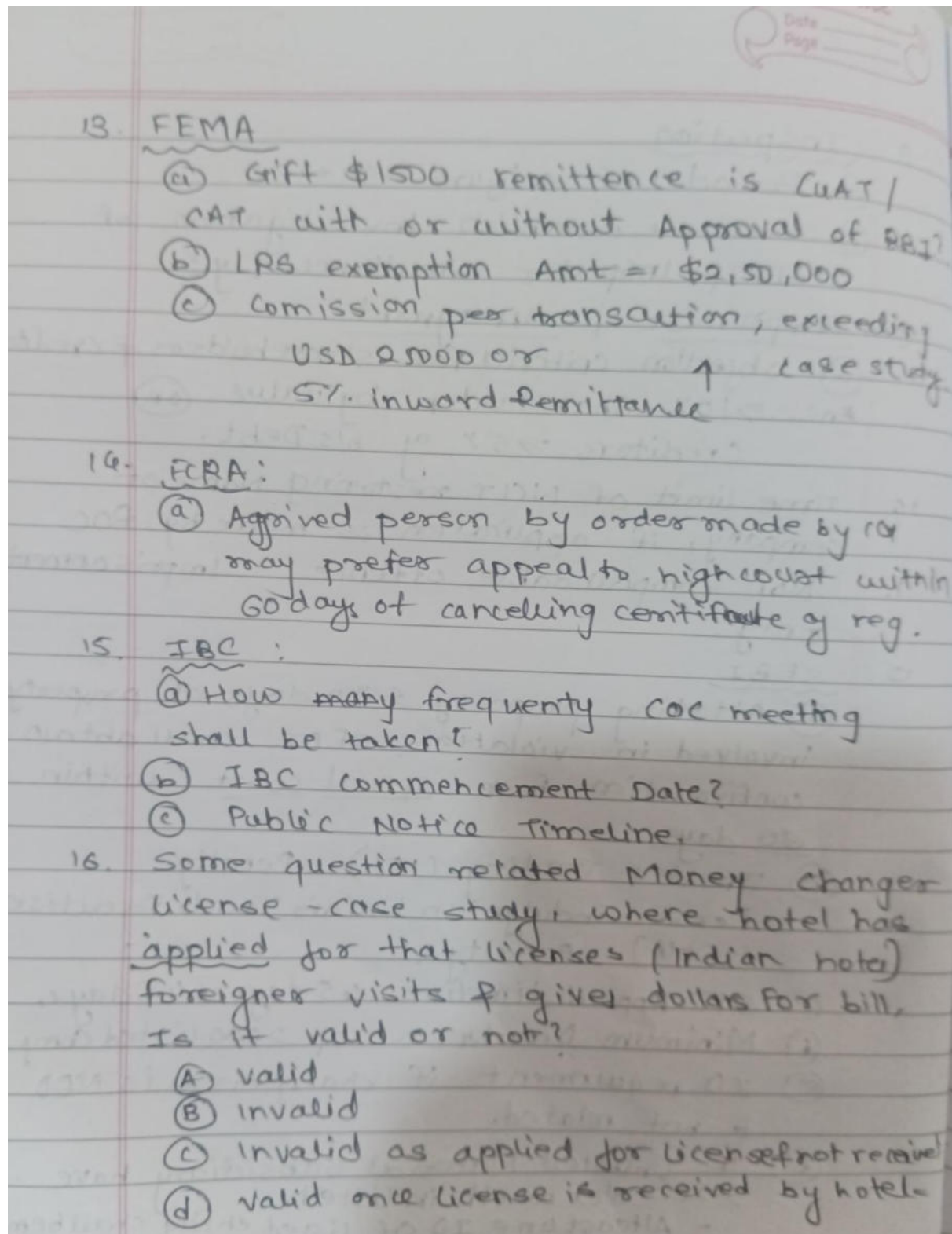
IBC was approved under IBC used?

- ① WD → vacancy
- ② IO - limit - Public Co.
- ③ Director vacancy in absence of meeting
- ④ Sh.h. ? req. to remove directors
- ⑤ Who shall appoint R.V. → registered value
- ⑥ Eligibility of R.V.
- ⑦ Valuation of R.V.
- ⑧ Penalty on R.V.
- ⑨ IO - limit - listed entities
- ⑩ " - unlisted co.
- ⑪ AC - limit (Chairperson limit)
- ⑫ Compliance report → Qtrly → Unit
- ⑬ Insider trading Penalty
- ⑭ NCLT / May / shall → amendments orders
- ⑮ Person aggrieved by the order of App. tribunal file within how much time → Supreme court
- ⑯ Notice to dissenting Sh.h. last date, Sh.h. last date to make App. to tribunal.
- ⑰ last day → Dis. on → registration of Sh. → Pay consideration.
- ⑱ Not an Adm. expense.
- ⑲ Form FC-4, 9m.
- ⑳ FC sec. in domestic etc.
- ㉑ IT. Penalty. ㉒ exc. buch. penalty
- ㉓ fail to deliver securities Penalty
- ㉔ MD → WTD of Subs. appointment
- ㉕ Remedy for removed directors.
- ㉖ Tribunal not depled ?, termination voided ?
- ㉗ S. seizes special court
- ㉘ Insp of Buch → Contributory Auth. rep. of CoC
- ㉙ Copy of audited. etc not sent to ROC. valid ?
- ㉚ preferential cr. payment, how much month before valid ?
- ㉛ Copy of audit etc sent to contributory. is another doc. req. ?
- ㉜ ECB. we. MAMP ? ㉝ from \$
- ㉞ MD (Mu.v) already serving as MD in another co. how to pass B.R. ㉟ sitting free of directorship
- ㊱ ODZ Auto. - How much old co. can make. - listed unlisted Sh.
- ㊲ - ODZ - same section ?
- ㊳ u Health and in yoga ?
- ㊴ 2 D before BB. (Sunday Satue, meeting 5<sup>th</sup> may)

classmate  
Date \_\_\_\_\_  
Page \_\_\_\_\_

Exam Date - 19/01/26  
Marks - 64

1. NCLT → NCLAT → Supreme Court : Timeline Case study. (They ask timeline giving Feb months, watch clearly for leap year, as there is confusing options for Dates)
2. If KYC, DIR-3 KYC not filed then CG may deactivate DIN
3. Director intimated vacancy through Email, when will vacancy considered as valid if company reads the mail after 2 days.
4. SSD meeting - notice days : 14.
5. single Resolution passed for appointment of 2 or more Director, e.
6. Political Contribution -
  - ① Will Advertisement Exp be treated as political contribution if Director disclosed So.
  - ② If company been in existence < 3 yrs & non-govt comp, what will be max political contribution, numerical @.
7. Director Remuneration (MD) & NED
  - ① case study, Permissible limit & Effective Capital Question
  - ② Age 63 yrs, Disqualification criteria
  - ③ Form NO MR-1 within 60 days to be filed for Appointment.
  - ④ Casual Vacancy of KMP - 6mon.



Shared Repeated Question for all Sets !!

NextGen CA Spom - <https://t.me/nextgencaspom>

NextGen Career Circle LinkedIn - <https://www.linkedin.com/company/nextgencareercircle/>

Telegram Community: [Click Here](#)

WhatsApp Community: [Click Here](#)